

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/090,456
Filing Date	March 4, 2002
First Named Inventor	HANSON S. GIFFORD
Group Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	19196-001100

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)7 Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):**Return Postcard**

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 50-1247.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
and
Individual name

HOEKENDIJK & LYNCH LLP

Jens E. Hoekendijk

Reg. No. 37,149

Signature

Date

June 12, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

June 12, 2002

Typed or printed name

Loni M. Cummings

Signature

Date

June 12, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 525

Complete if Known

Application Number	10/090,456
Filing Date	March 4, 2002
First Named Inventor	HANSON S. GIFFORD
Examiner Name	Unassigned
Group Art Unit	3763
Attorney Docket No.	19196-001100

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-1247

Deposit
Account
Name

HOEKENDIJK & LYNCH, LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	370
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$370)

2. EXTRA CLAIM FEES

Total Claims		-20**	=	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims		-3**	=		X	\$80	=	
Multiple Dependent					X		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$90)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

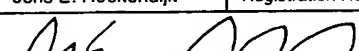
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$65)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Jens E. Hoekendijk	Registration No. (Attorney/Agent)	37,149	Telephone	415.412.3322
Signature				Date	June 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. V

COPY OF PAPER
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,456	03/04/2002	Hanson S. Gifford	19196-001100

CONFIRMATION NO. 5104

FORMALITIES LETTER



OC000000007854273

JENS E. HOEKENDIJK
P.O. Box 4787
Burlingame, CA 94011-4787

Date Mailed: 04/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/20/2002 SZEWDIE1 00000065 10090456

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH
02 FC:203 90.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1050.

06/24/2002 SZEWDIE1 00000012 501247 10090456

01 FC:205 65.00 CH

A copy of this notice **MUST** be returned with the reply.

 Customer Service Center

Initial Patent Examination Division (703) 308-1202

06/20/2002 SZEWDIE1 00000065 501247 10090456 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201 370.00 CH
02 FC:203 90.00 CH



PATENT

Attorney Docket No. 19196-0001100

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on June 12, 2002.

By: 

Loni M. Cummings

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)

HANSON S. GIFFORD, III, *et al.*)

Examiner: Unassigned

Application No.: 10/090,456)

Art Unit: 3763

Filed: March 4, 2002)

For: METHODS AND DEVICES FOR)
PROTECTING A PASSAGEWAY)
IN A BODY WHEN ADVANCING)
DEVICES THROUGH THE)
PASSAGEWAY)

TRANSMITTAL LETTER

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED mailed April 12, 2002, enclosed are the following to be made of record in the above-identified application:

- 1) Copy of Notice to File Missing Parts of Application

Please charge the following fee(s) to Deposit Account No. 50-1247:

Basic Filing Fee:	\$ 370.00
Total Claims: 30-20 = 10 x 9 =	\$ 90.00
Independent Claims: 2-3 = 0 x 42 =	\$ -----
Missing Parts Surcharge for Small Entity	\$ 65.00

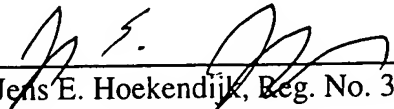
TOTAL FEES TO BE CHARGED

\$ 525.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to Deposit Account No. 50-1247. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date June 12, 2002


Jens E. Hoekendijk, Reg. No. 37,149

HOEKENDIJK & LYNCH, LLP
P.O. Box 4787
Burlingame, CA 94011-4787
(415) 412-3322